



ECL ASSET MANAGEMENT LIMITED

(A Trading License Holder of the Nigerian Exchange Limited)

999C Danmole Street (5th Floor) Off
Adeola Odeku/Idejo Street, Victoria
Island, Lagos Tel: +234 814 686 4707
E-mail / info@eclassetmgt.com
Website / www.eclassetmgt.com

Joint Account Opening Form

Joint Account Name					
Contact Address					
Nearest Bus Stop:		Landmark:			
Mailing Address:					
Annual Income	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Purpose of Investment
(NGN)	Less than 10 m	10 m	50 m	Above 50	Source of Investment / Fund

Joint Bank Account

Bank Name:	Branch:
Account Name:	Account Number:
BVN:	Bank Sort Code:

Principal Contact

Surname:	First Name:	Middle Name:
Residential/Registered Address:		
Date Of Birth:		
Nearest Bus stop:		Landmark:
Email:	Telephone:	
Gender: Male: <input type="checkbox"/>	Female: <input type="checkbox"/>	Signature & Date:
IDENTIFICATION: INT'L PASSPORT <input type="checkbox"/>	DRIVER'S LICENSE <input type="checkbox"/>	NIN: <input type="checkbox"/> ID/No:
BVN:	State of Origin:	
LGA:	Occupation:	

Affix Current
**Passport
Photograph**

Authorised Signatory (2)

Surname:	First Name:	Middle Name:
Residential/Registered Address:		
Date Of Birth:		
Nearest Bus stop:		Landmark:
Email:	Telephone:	
Gender: Male: <input type="checkbox"/>	Female: <input type="checkbox"/>	Signature & Date:
IDENTIFICATION: INT'L PASSPORT <input type="checkbox"/>	DRIVER'S LICENSE <input type="checkbox"/>	NIN: <input type="checkbox"/> ID/No:
BVN:	State of Origin:	LGA:
LGA:	Occupation:	

Affix Current
**Passport
Photograph**

TERMS AND CONDITIONS

- a. We.....
hereby request and authorize you to open an account in my name and subsequently open further accounts as I may direct.
I understand and agree that:
- b. It is our standard procedure to submit/procure credit information to/from relevant agencies and credit bureau in compliance with the CBN financial systems credit your financial relationships information may be reported/ reviewed at any time in the normal course of the relationship
- c. ECL offices are open for business between the hours of 8a.m. and 5p.m. on each that is designated a Business Day in Nigeria ("Business Day").
- d. All payments are to be made into ECL's Corporate Account. That is, in no circumstance should any client pay

through company representatives otherwise
Client mandates could be hard or soft copy and should be forwarded to ECL office, in Lagos or via our various mandates' channels: e-mail/ SMS
info@eclassetmgt.com

and WhatsApp **+234 814 686 4707**

We the undersigned, have read and are in agreement with the Terms & Conditions and the Risk Disclosures above:

N.B. All deletions and/or alterations must be initialed by the Client. The Mandate must be initiated by all signatories thereto.

E-INDEMNITY

Wehereby declare that; I am fully aware that Buy / Sell Mandate for the trade of shares/stocks/bonds through my CENTRAL SECURITIES CLEARING SYSTEM LTD (CSCS) Account domiciled with the company shall be by Buy / Sell Mandate instruction in accordance with the service offering I subscribed to and such instructions must emanate from email address or phone numbers filled in this account opening form or by a hard copy of my written and signed request from me.

We understand that the Company is not required to verify the identity of the person giving instructions on my name provided the instructions are in line with my records with the Company.

NIGERIA DATA PROTECTION REGULATION DATA CONSENT FORM

We hereby grant ECL Asset MGT Limited and all its third party processors authority to process my personal data, for the purpose of but not limited to:

- Opening a brokerage account
- Accessing the company's online trading portal
- Receiving email, promotions, and marketing materials
- Processing e-dividends and dematerialization of stocks

- e. Daily transaction deadline for the receipt of stock mandates and amendments request from clients is between 9:20a.m and 2:15p.m. (Nigerian Time) of the Business.
- f. ECL executes transactions based on the ruling market prices of stocks on the Nigerian Exchange Ltd, NASD OTC Securities Exchange and FMDQ OTC Securities Exchange. Valid mandates have an execution period of 24hours unless specified by the client.
- g. Where a mandate consists of a purchase instruction, it will only be processed if sufficient cleared funds are available in the client's account.
- h. Valid mandates have an execution period of 24hours unless specified by the client.
- i. ECL executes transactions based on the ruling market prices of stocks on the Nigerian Exchange Ltd, NASD OTC Securities Exchange and FMDQ OTC Securities specified

It is my absolute responsibility to keep private my online trading login detail, my username and password. ECL is not liable for any unauthorized access to my online trading portal

We hereby irrevocably undertake to indemnify the Company and hold it harmless against all costs (including without limitation to legal fees and expenses, claims, losses, liabilities, damages and proceedings) whatsoever that the company may suffer or incur or that may arise as a result of the Company accepting or acting upon such instructions, communication or documents.

The company shall have absolute discretion, for any reason whatsoever, to act or not to act upon documentation received by facsimile, e-mail, letters or instructions received by telephone.

This Indemnity shall be governed by and construed in accordance with the Laws of the Federal Republic of Nigeria.

NOW THEREFORE, we instruct that the Company should accept and execute instructions and/or give effect to requests to buy or sell stocks on my behalf, any other

ANTI-MONEY LAUNDERING ACT

As part of the Federal Government of Nigeria fight against funding of terrorism and money laundering activities, the Money Laundering (Prohibition) Act 2013 requires a statutory filing of report to NFIU of deposits from #5,000,000 and above from individual and #10,000,000 and above from corporate body. we are fully in compliance of this requirement.

- Execution of sales and purchase instructions
- Receiving investment updates and newsletters
- Rectification of my personal data
- Research and statistical purpose
- Receiving trade alert

We are aware this is necessary for ECL Asset MGT Limited legitimate interest to process personal information for the purpose of processing my request. I consent to ECL Asset MGT Limited using my personal data for the purposes described in this notice. I understand that I can withdraw my consent at any time using the Data Subject Consent Withdrawal Form.

Name of Individual Providing consent:

Name: _____ Signature: _____ Date: _____

DATA PROTECTION OFFICER:

Name: _____ Signature: _____ Date: _____

OTHER DISCLOSURES

Have you occupied any Political Position? Yes No

If yes, please state the most recent political position held: _____ Date: from _____ to _____

Have any of your close relatives/associates occupied a Political Yes No

If yes, please state the most recent political position held: _____ Date: from _____ to _____

FOR OFFICIAL USE ONLY

Document Checklist	Yes	No
Completed KYC form	<input type="checkbox"/>	<input type="checkbox"/>
Means of Identification (e.g. International Passport, Driver's License, National ID Card)	<input type="checkbox"/>	<input type="checkbox"/>
Passport Photograph of Signatory (ies)	<input type="checkbox"/>	<input type="checkbox"/>
Proof of address (e.g. Copy of recent utility bill)	<input type="checkbox"/>	<input type="checkbox"/>

Account Officer's Name: _____ Signature and Date: _____

Head, Operations: _____ Signature and Date: _____

Compliance: _____ Signature and Date: _____

Approved by: _____ Signature and Date: _____